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NORAD & USNORTHCOM Operation Plans Summary

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NORAD Missions

- **Aerospace Warning**: Detect, validate, characterize, assess and warn of attacks against North America, whether by aircraft, missiles or space vehicles
- **Aerospace Control**: Detect and respond to unauthorized and unwanted air activity approaching or operating within North American airspace
- **Maritime Warning**: Process, assess and disseminate intelligence/information to warn of maritime threats or attacks against North America

- Within the North Atlantic Treaty Framework
- As Agreed in NORAD Agreement Terms of Reference



USNORTHCOM Missions

Conduct military operations to:

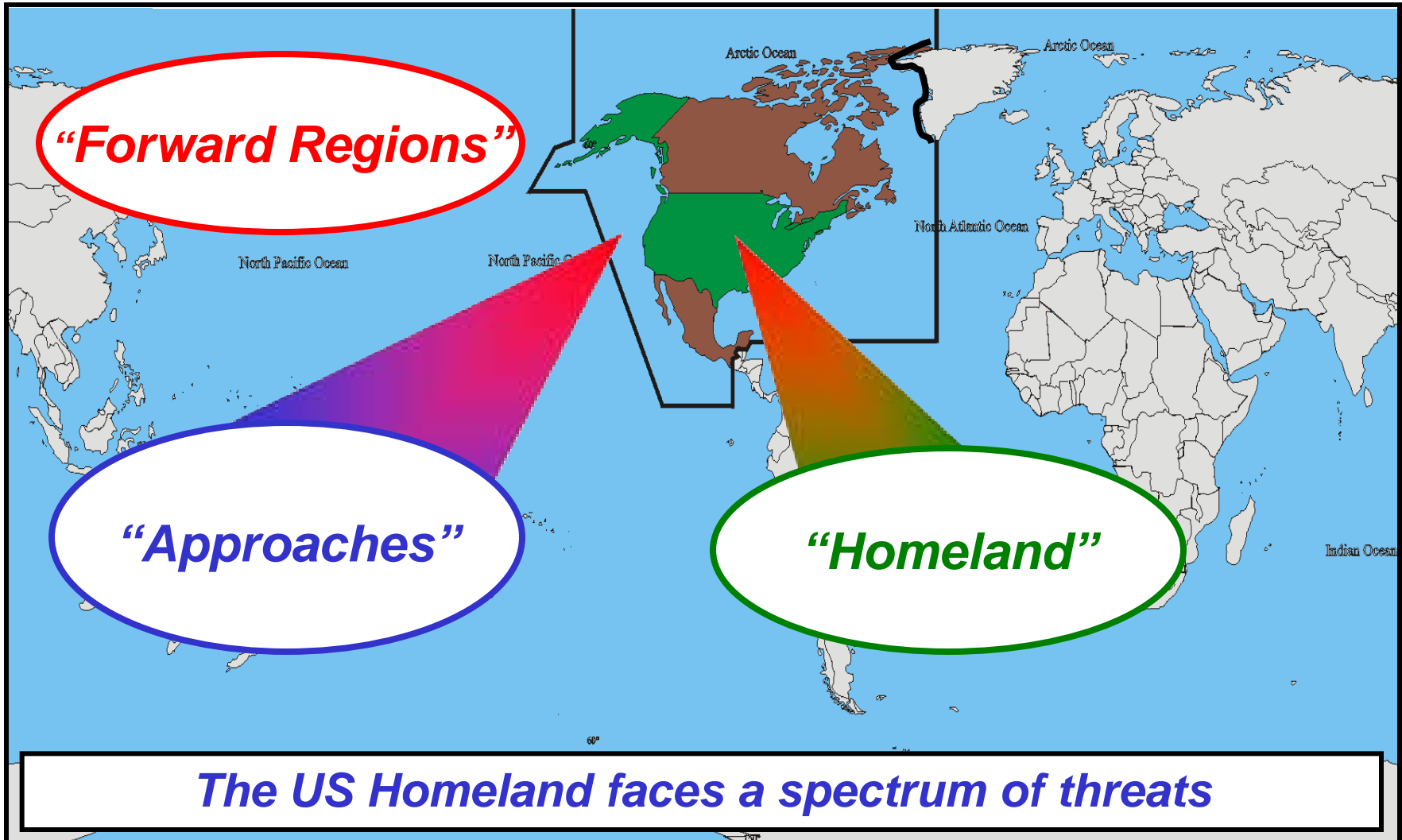
- Anticipate, deter, prevent and defeat threats to the United States, its territories and interests within assigned area of responsibility
- Provide civil support and other assistance to U.S. civil authorities as directed



USNORTHCOM defends America's Homeland – protecting our people, national power, and freedom of action



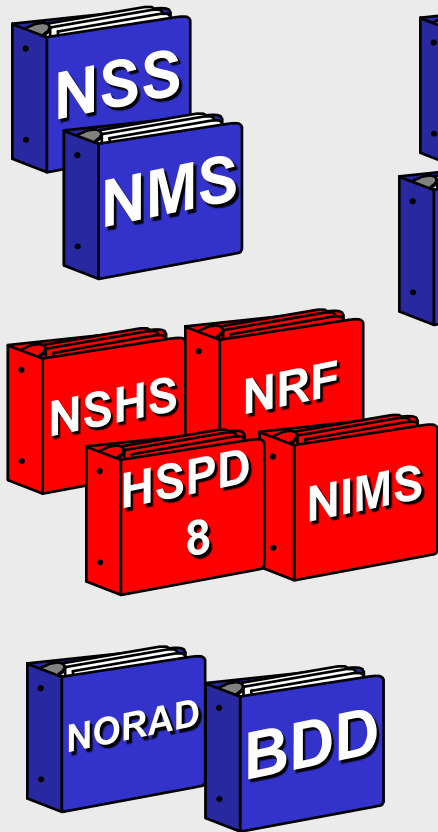
USNORTHCOM's Area of Responsibility



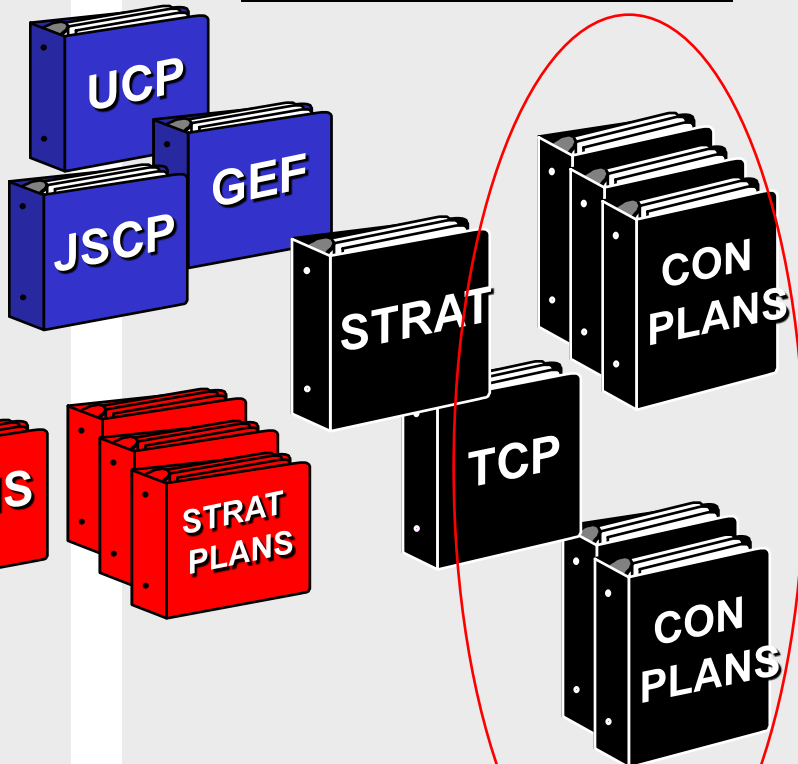
National Strategy Guides Contingency Plans



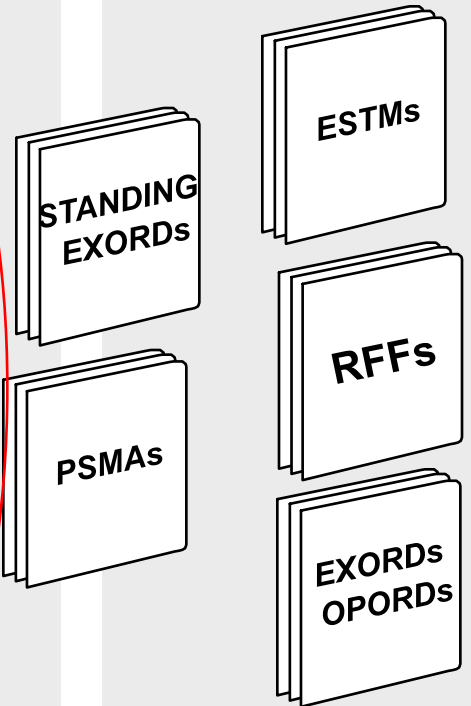
National Strategy



Operation Planning



Crisis Planning



Family of Plans



- **NC Theater Campaign Plan**
- **CONPLAN 3310 - Aerospace Defense & Maritime Warning**
- **CONPLAN 3400 - Homeland Defense**
- **Combined Defense Plan**
- **CONPLAN 3475 - Regional War on Terrorism**
- **CONPLAN 3600 - Emergency Preparedness in the NCR**

Plans Set the Conditions for Our Operations

Family of Plans



- **CONPLAN 3501 - Defense Support of Civil Authorities**
- **CONPLAN 3500 - CBRNE Consequence Management**
- **CONPLAN 3502 - Civil Disturbance Operations**
- **CANUS Civil Assistance Plan**
- **CONPLAN 3551 - Pandemic Influenza - Global**
- **CONPLAN 3591 - Pandemic Influenza - Regional**
- **CONPLAN 3505 - Nuclear Weapon Accident Response Plan**

Plans Set the Conditions for Our Operations



Theater Campaign Plan

- **Purpose: Long-range plan to operationalize the NC Theater Strategy, and synchronize Global and Theater campaign planning, security cooperation, contingency planning, strategic communication, resources and forces**
- **Highlights:**
 - **Ensures Command objectives aligned with NDS and NMS**
 - **Achieve Guidance for Employment of the Force and JSCP end states**
 - **Link and synchronize steady state, shaping, and security cooperation activities with our contingency plans**
 - **A Capstone document that will drive activities in the HQ's**



Concept--Operational Construct

4 Theater End States assigned by GEF

3 Theater (Intermediate) Objectives developed in Theater Strategy

6 Logical Lines of Operation in Theater Campaign Plan;

Tasks, actions, activities in TCP Synch Matrices achieving 11 Theater Effects

End State #1
The Homeland is defended in depth

End State #2
XXX

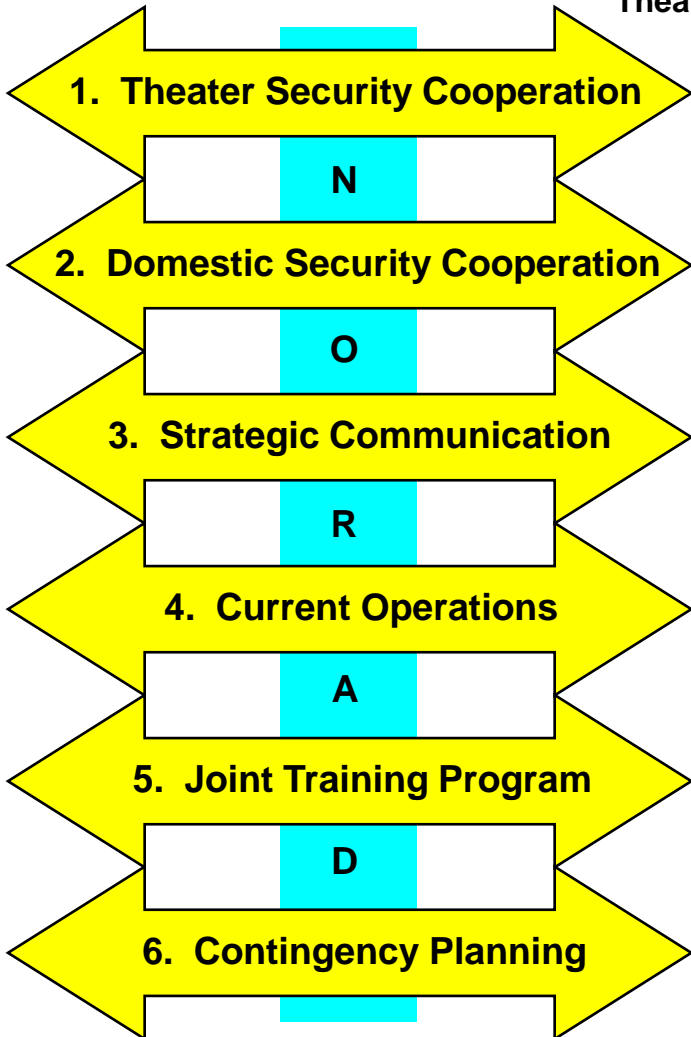
End State #3
Catastrophic consequences mitigated, adequate DOD support provided

End State #4
Federal, state, tribal, & local govt capable of managing catastrophic events

Theater OBJ #1
The U.S. is defended, protected and secure from external threats and aggression.

Theater OBJ #2
Timely, effective civil support is provided.

Theater OBJ #3
Unity of effort with interagency and international partners is accomplished



- Mil to Mil
- Bilat Conferences
- Reciprocal Visits

- LNO Conf
- DCO / FCO Conf
- BPC Conf
- OHDACA

- Legislative / OSD Engagement
- NSSE support
- Exercise events

- COOP ops
- Cmd Ctr ops
- Crisis planning

- IA Coord Trng Prg
- Nat Exercise Prg
- NG / Vigilant Gd trng
- JCTC / JPOC / DSCA courses

- Vision, Strategy
- Campaign Plan
- Contingency Plans
- IMPT / IPS



Emerging National Planning Initiatives

- **Homeland Security Presidential Directive 8, Annex I**
 - **Integrated Planning System**
 - **National Homeland Security Plan**
 - **National Interagency Concept Plans**
- **Impacts**
 - **Codified planning processes for Interagency Planning**
 - **National Planning Scenarios binned into 8 mission sets**
 - **Additional appendices to CONPLAN Operations Annex**
 - **CONPLAN 3501 for DSCA**
 - **CONPLAN 3500 for CBRNE-CM**
 - **Possible revisions to CONPLAN 3400 for HD**

Planning Challenges



- **Legal authorities in the Homeland**
- **Homeland Defense**
 - **Deterrence a key component**
 - **Integrating the Instruments of National Power**
- **Integrated Planning System – in development**
- **Response oriented**
- **Supporting the supporting Federal agency**
- **Response times are short**
- **Expectations of the American public are high**



Civil Support Planning Challenges

- **Who is Primary Federal Agency?**
- **What type of response is required?**
- **When will incident happen?**
- **Where is support required?**
- **How do we best support?**
- ***How do we provide the support necessary/requested not a moment too soon but not a second too late?***

Level of USNORTHCOM response dependent on local, tribal, State and Federal responses



Civil Support Planning Solutions

- **Contingency plans that provide a framework based on what we know**
 - **Coordination and initial assessment**
 - **Scalable C2**
 - **Tiered response**
 - **Phased operation**
- **Adaptive planning and execution process provides response based on specific incident**
 - **Coordination points across mission partners**
 - **Process to refine CONPLAN as details become available**

Success of USNORTHCOM response dependent on flexibility and coordination with mission partners



USNORTHCOM

Interagency Coordination

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N-NC/IC – Partnering Vision

Finding the rest of the iceberg...





What is Interagency Coordination?

“The Coordination that occurs between agencies of the US Government, including the Department of Defense, for the purpose of accomplishing an objective” – Joint Publication 3-08

- ***We at NORAD and USNORTHCOM include:***

- **US Government, State, Tribal, and Local Agencies**

- (e.g. Dept of Homeland Security, State, Transportation, Health and Human Services, etc)

- **Non-Governmental Agencies**

- (e.g. Red Cross, Humanitarian Int’l Service Group, etc)

- **Private Sector Organizations**

- (Academic, business, professional)

- **Whole of Government/Whole of Society**

“Interagency” is an Adjective, not a Noun



Why Interagency Coordination?

- **Many organizations have a common goal, but not a common boss**
 - **Multiple authorities, jurisdictions, levels of government (Constitutional underpinnings)**
 - **Mayors don't work for Governors**
 - **Governors don't work for the President**
 - **Private sector has responsibilities, obligations and capabilities**
 - **NGOs have their own objectives**
- **21st Century security challenges are far too complex for any one department/agency – at any level of government**
 - **Meeting these challenges requires integration and collaboration among all instruments of US national power**
 - **Operations inevitably require close cooperation between various organizations with military, political, economic, public safety and other forms of expertise and resources**

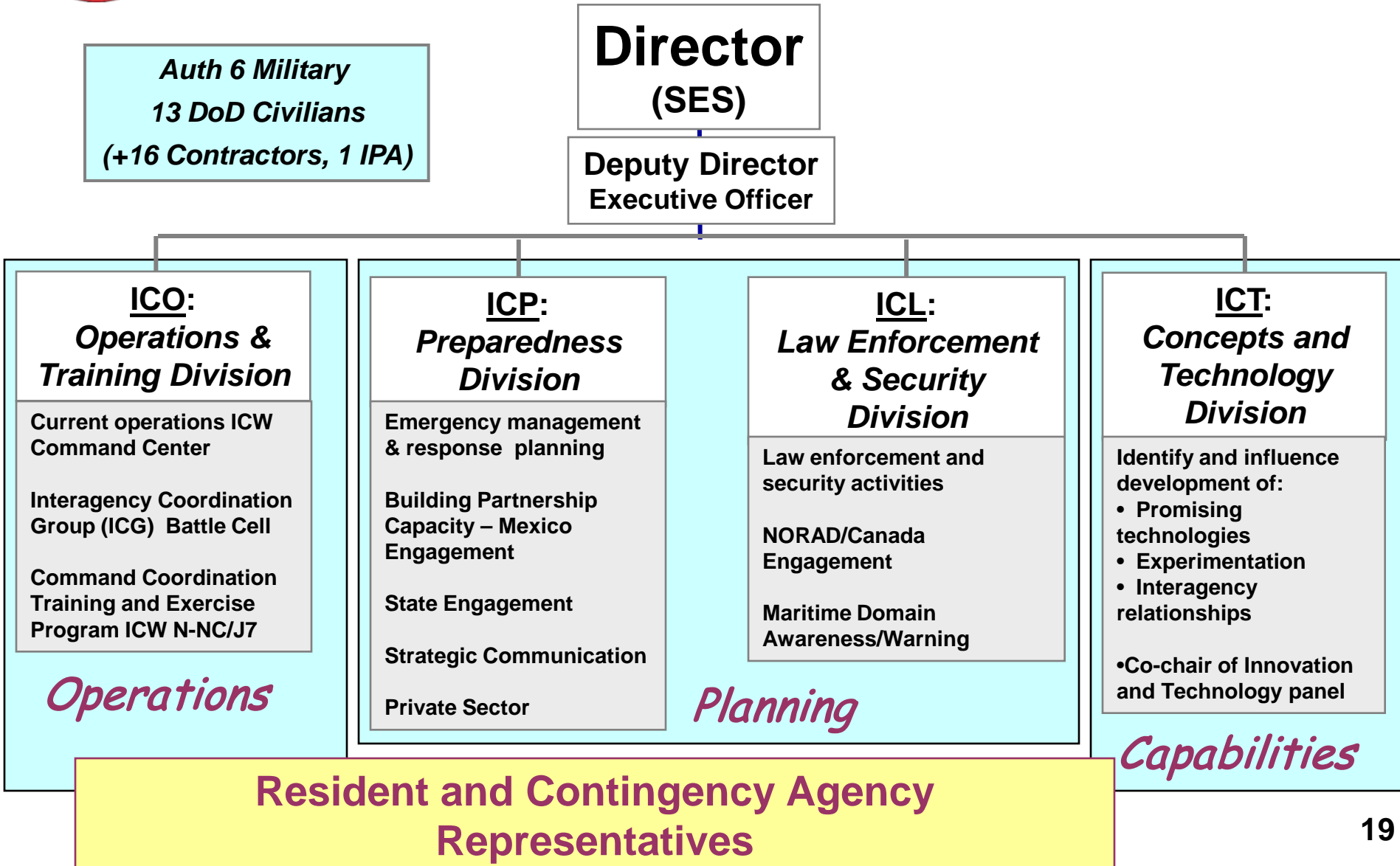


Why? (cont'd)

- **Common goal (s), but not common:**
 - Languages
 - Approaches
 - Experiences
- **The NRF, NIMS, ICS standardizes some things, but...**
- **Interagency diversity – differing cultures, hierarchy, biases, and misperceptions makes unity of effort difficult**
- **In decision-making, non-DOD Departments/Agencies rely more on cooperation and consensus building**
- **Understanding plans, capabilities and limitations of other stakeholders is key to building your own plan**



N-NC Interagency Coordination Directorate





- **Provide Interagency context to Commander's decision making processes**
- **Provide Interagency perspective to N-NC staff and Department of Defense (DoD) perspective to external Agencies**
- **Anticipate NORAD and USNORTHCOM requests for assistance through National Response Framework (NRF)**
- **Administer Commander's Joint Interagency Coordination Group (JIACG)**
- **Operate the Interagency Coordination Group (ICG) "Battle Cell"**



JIACG and ICG

JIACG - Supports operational planning & initiatives day to day

- Provides Interagency situational awareness, Interagency assessments, Interagency reach back (Resident and 'Virtual' membership), and synthesis of Interagency information
- Working Groups formed for issues of interest....
Law Enforcement, DCO/FCO, Pandemic Flu, Earthquake, Pre-scripted Mission Assignments, Private Sector, etc

ICG – The Interagency Coordination Group (“Battle Cell”)

- Interagency coordination focus point for Agency reps during contingency operations or exercises
- Provides the JIACG Assessment to the Commander and staff
 - Anticipates gaps/seams that may lead to DOD missions



Civil Interagency Partners

DHS: Department of Homeland Security**
CBP: Customs and Border Protection
FEMA: Federal Emergency Management Agency**
TSA: Transportation Security Administration
FAMS: Federal Air Marshal Service
USCG: US Coast Guard
ICE: Immigration & Customs Enforcement

DHHS: Department of Health and Human Services**
USPHS: US Public Health Service
CDC: ** Center for Disease Control

NASA: National Aeronautics and Space Administration

USDA: US Department of Agriculture
USFS: US Forest Service

NOAA: National Oceanographic and Atmospheric Agency

DOI: Department of Interior
USGS – US Geological Survey

EPA: Environmental Protection Agency

DNI: Director, National Intelligence

CIA: Central Intelligence Agency

DOE: Department of Energy
OST: Office of Secure Transportation

DOS: Department of State**

DOT: Department of Transportation

FAA: Federal Aviation Administration**

DOJ: Department of Justice
FBI: Federal Bureau of Investigation
ATF: Bureau of Alcohol, Tobacco, Firearms and Explosives
DEA: Drug Enforcement Agency

Resident Contingency
**** = DOD LNO at Civil Agency**



Non Traditional Interagency Partners

International

Mexican Protección Civil

**Canada Command
Public Safety – Canada
Royal Canadian Mounted Police
Transport Canada
Canadian Border Services Agency**

USAID/Office of Foreign Disaster Asst

Academia / Research

DOE – National Laboratories

NDU – National Defense University

Education Consortiums/HSDEC

Naval Postgraduate School

State and Local

JTF State - National Guard (Title 32)

NGA – National Governors Association

**NEMA - National Emergency
Management Association**

Border Governors

Private Sector/NGO

ARC – American Red Cross

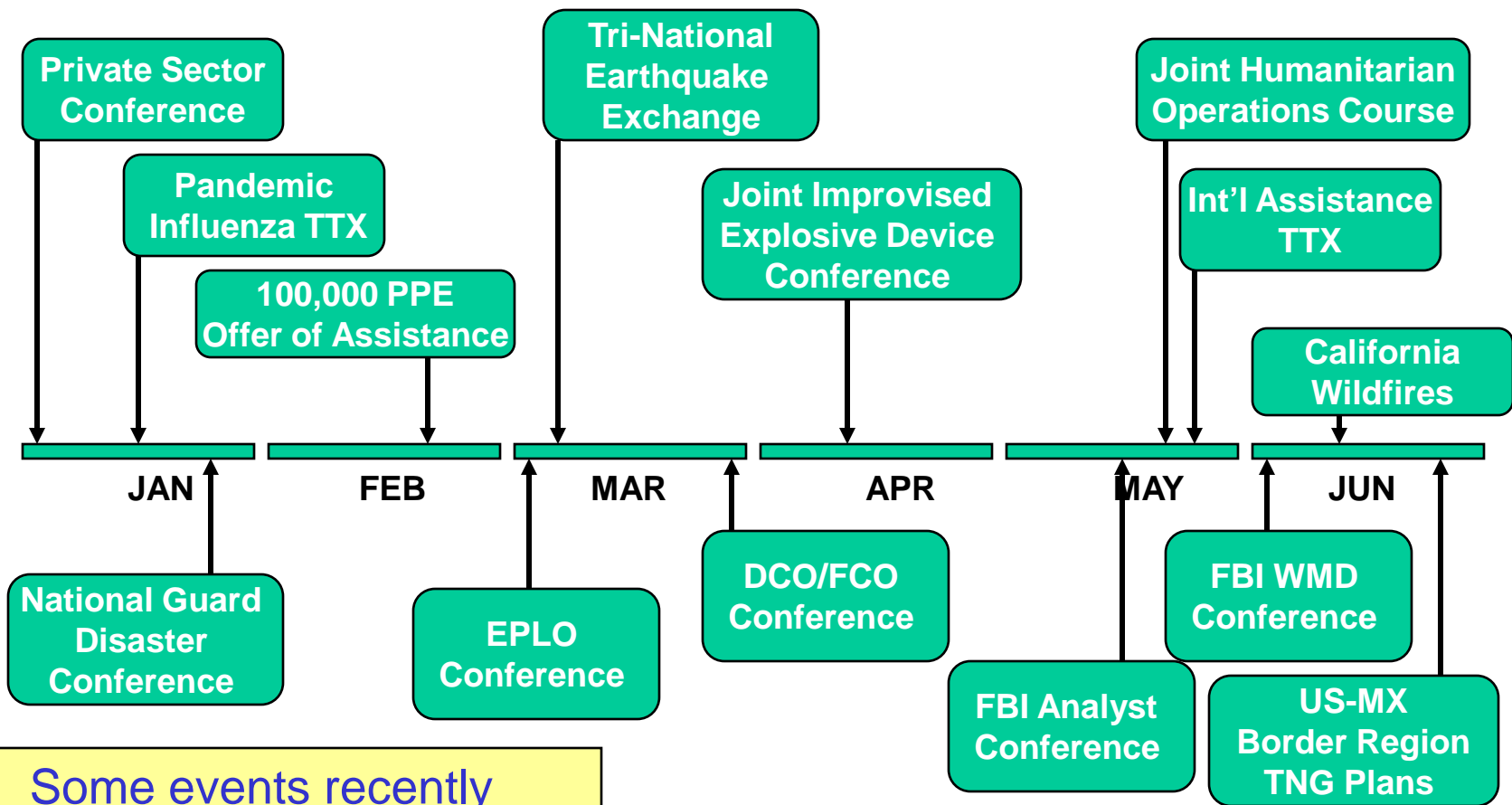
**HISG– Humanitarian International
Service Group**

**BENS – Business Executives for
National Security**

Some of the organizations with which IC collaborates



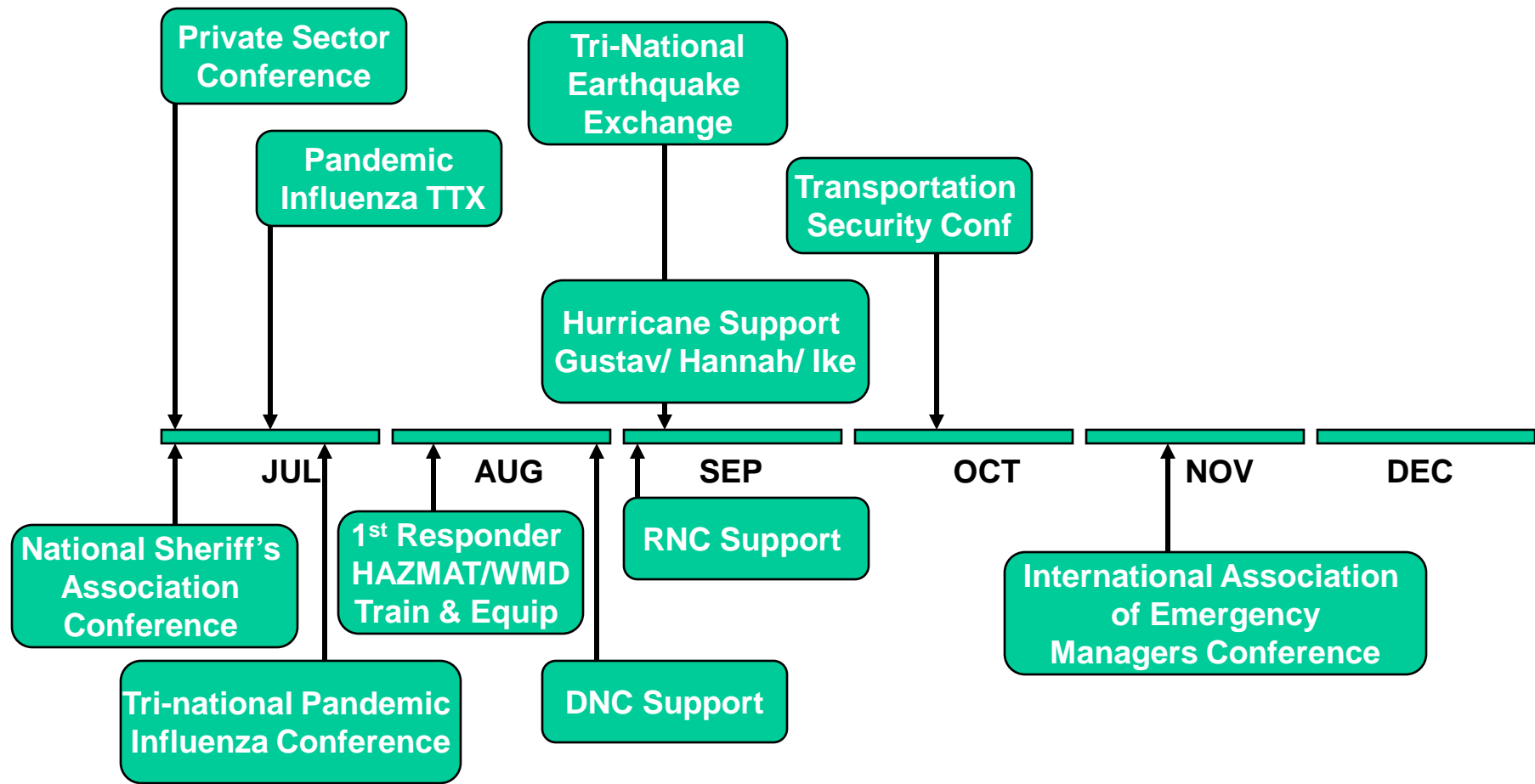
Recent Interagency Events



Some events recently supported by the IC Directorate – not including numerous exercises



Interagency Events



Some upcoming interagency and international events supported by the IC



Discussion